

# *Proximal Humerus Fractures: contemporary perspectives*

*Diego L Fernandez M.D*

*Professor of Orthopaedic Surgery*

*Department of Orthopaedic Surgery*

*Lindenhof Hospital, Berne, Switzerland*

*[www.diegofernandez.ch](http://www.diegofernandez.ch)*



# Proximal Humerus Fractures

*High Incidence:*

- *2<sup>nd</sup> most frequently fractured long bone in upper extremity  
80,000 a year in US*



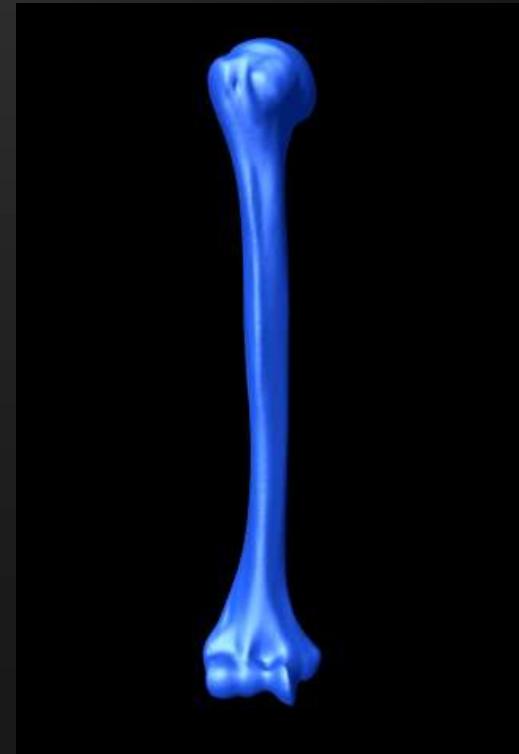
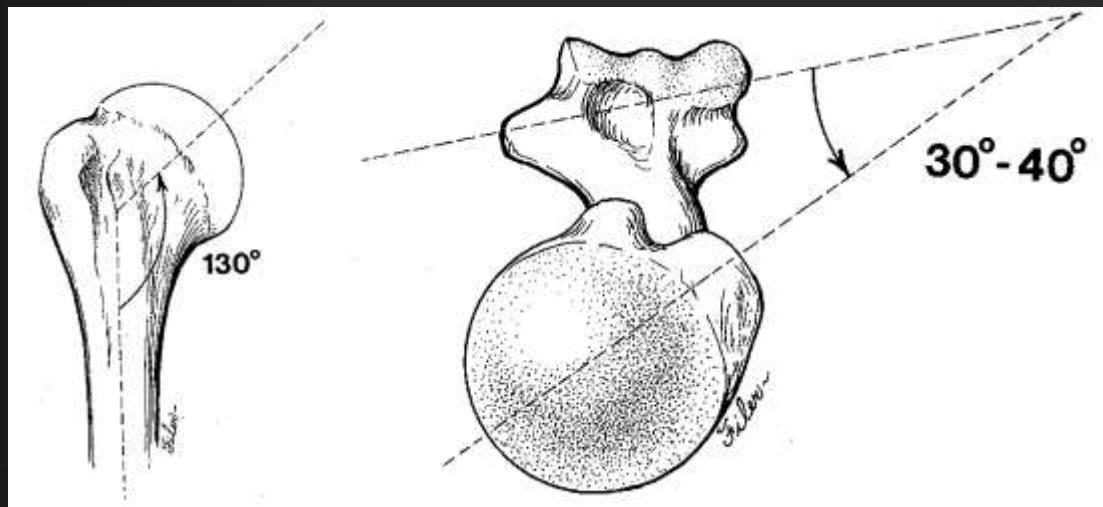
# Proximal Humerus Fracture

Age group helps determine personality of fracture

- Young patient
- Male > Female
- High energy
- Good bone quality
- ORIF
- Older patient
- Female > Male
- Low energy
- Poor bone quality
- Hemiarthroplasty  
> ORIF

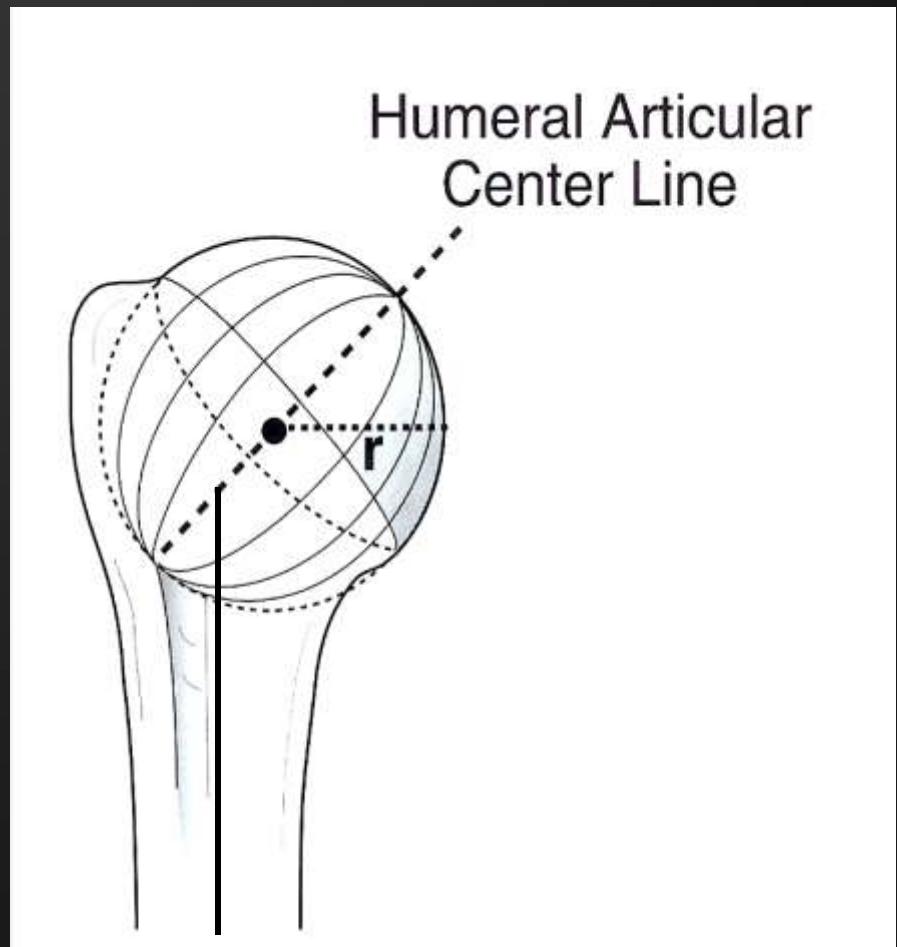
# *Anatomy*

- Humeral head retroverted  $20\text{-}30^\circ$
- Neck-shaft angle  $120\text{-}150^\circ$



# Anatomy

*the proximal  
humeral articular  
centerline is  
inclined 135° to  
the axis of the  
shaft*

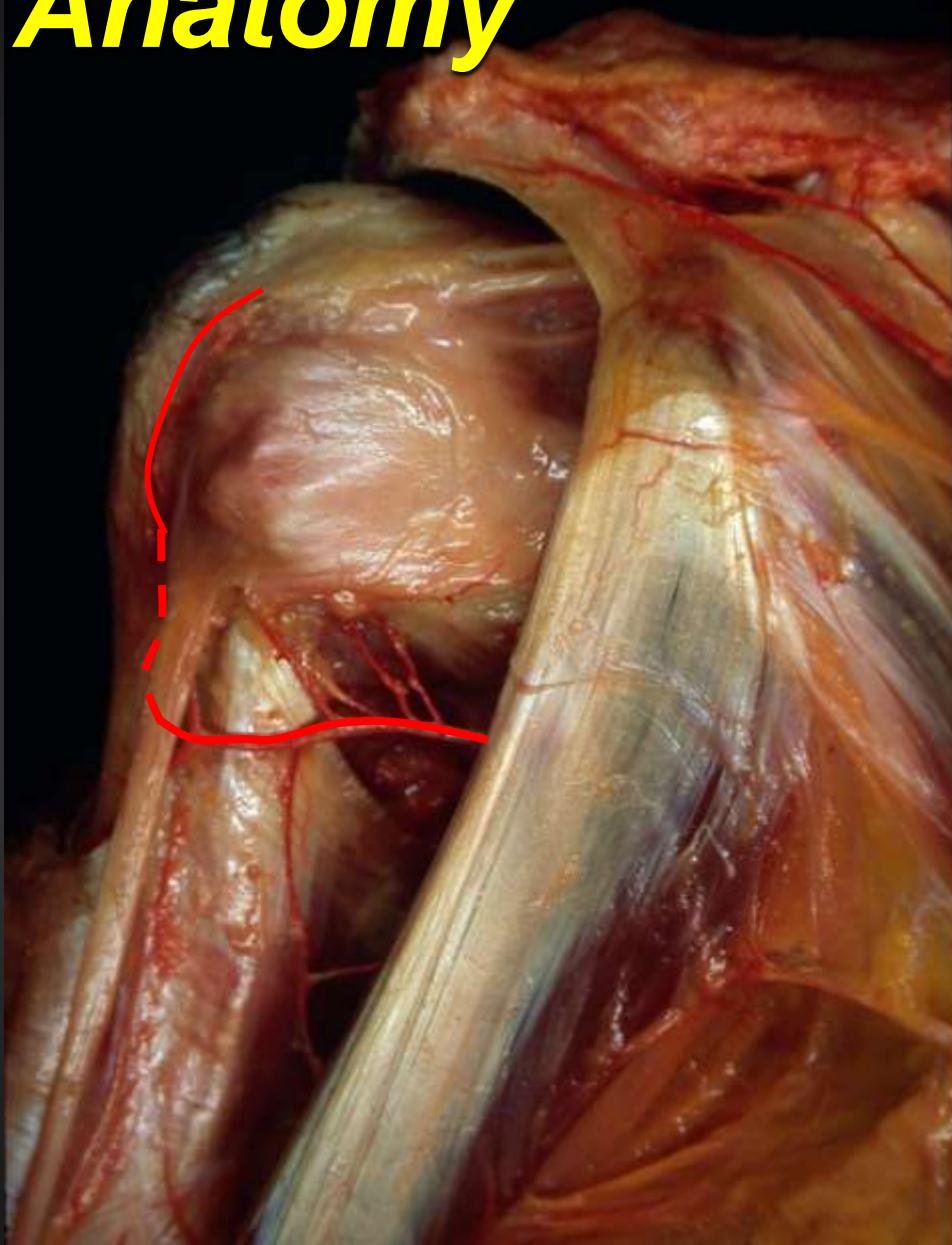


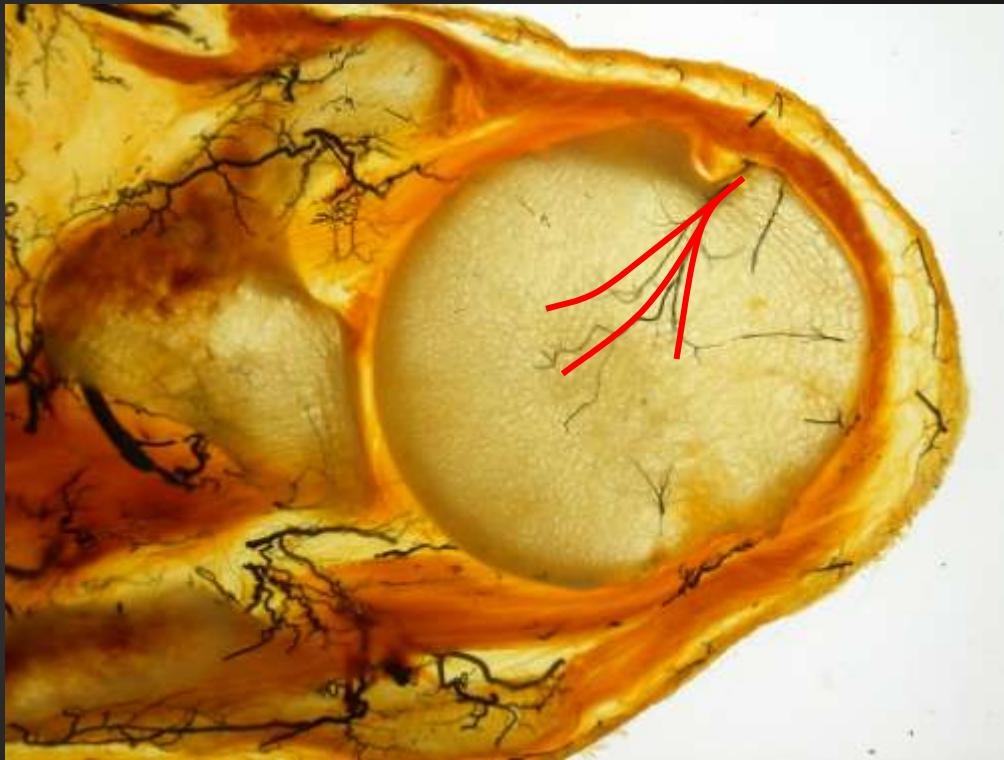
# Vascular Anatomy

## Blood supply

- Anterior Humeral circumflex
- Ascending branch, along the lateral ridge biceps groove
- Enters into the greater tuberosity

Gerber, Schneeberger, Vinh. The arterial vascularization of the humeral head. JBJS 1990;72A:1486

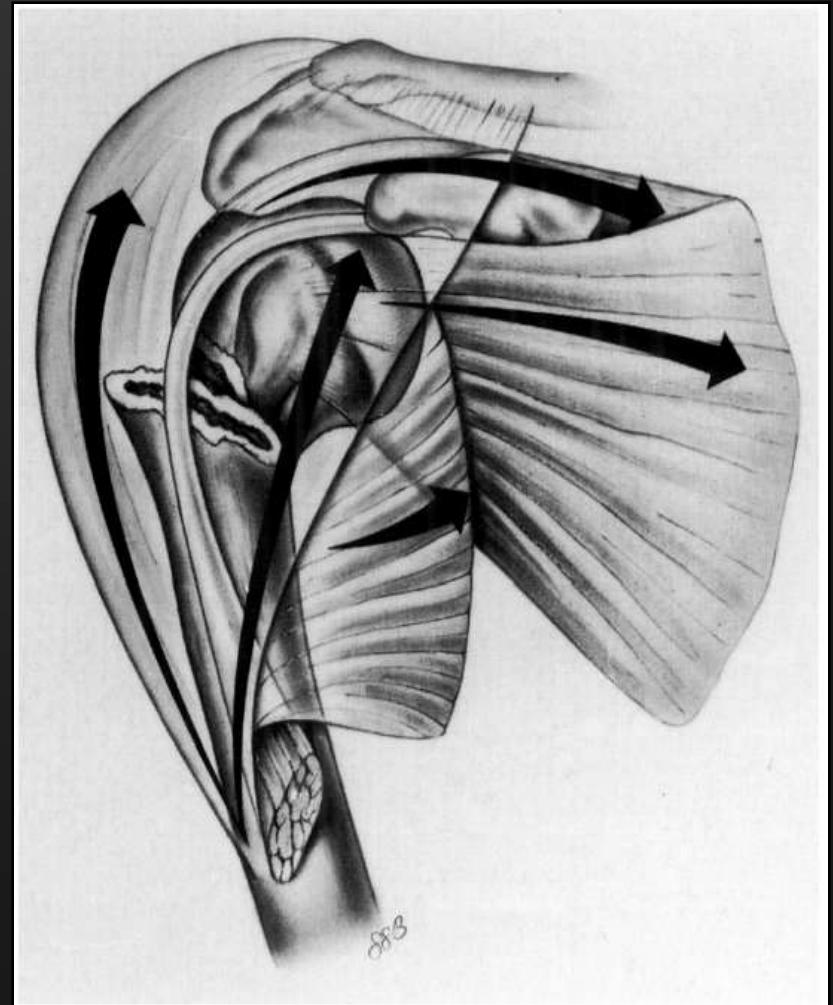




Courtesy:  
*Dr Manuel Llusa, Barcelona, Spain.*

# *Deforming Forces*

- **Pectoralis Major**
  - Shaft medial
- **Deltoid**
  - Shaft proximal
- **Subscapularis**
  - Lesser tuberosity  
medial
- **Rotator Cuff**
  - Greater tuberosity  
superior and  
posterior

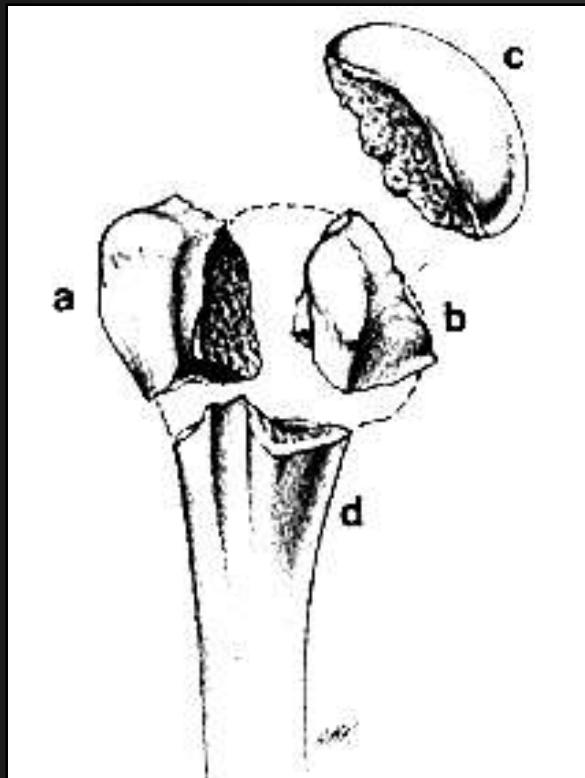


# *Classification E.A. Codman 1934*



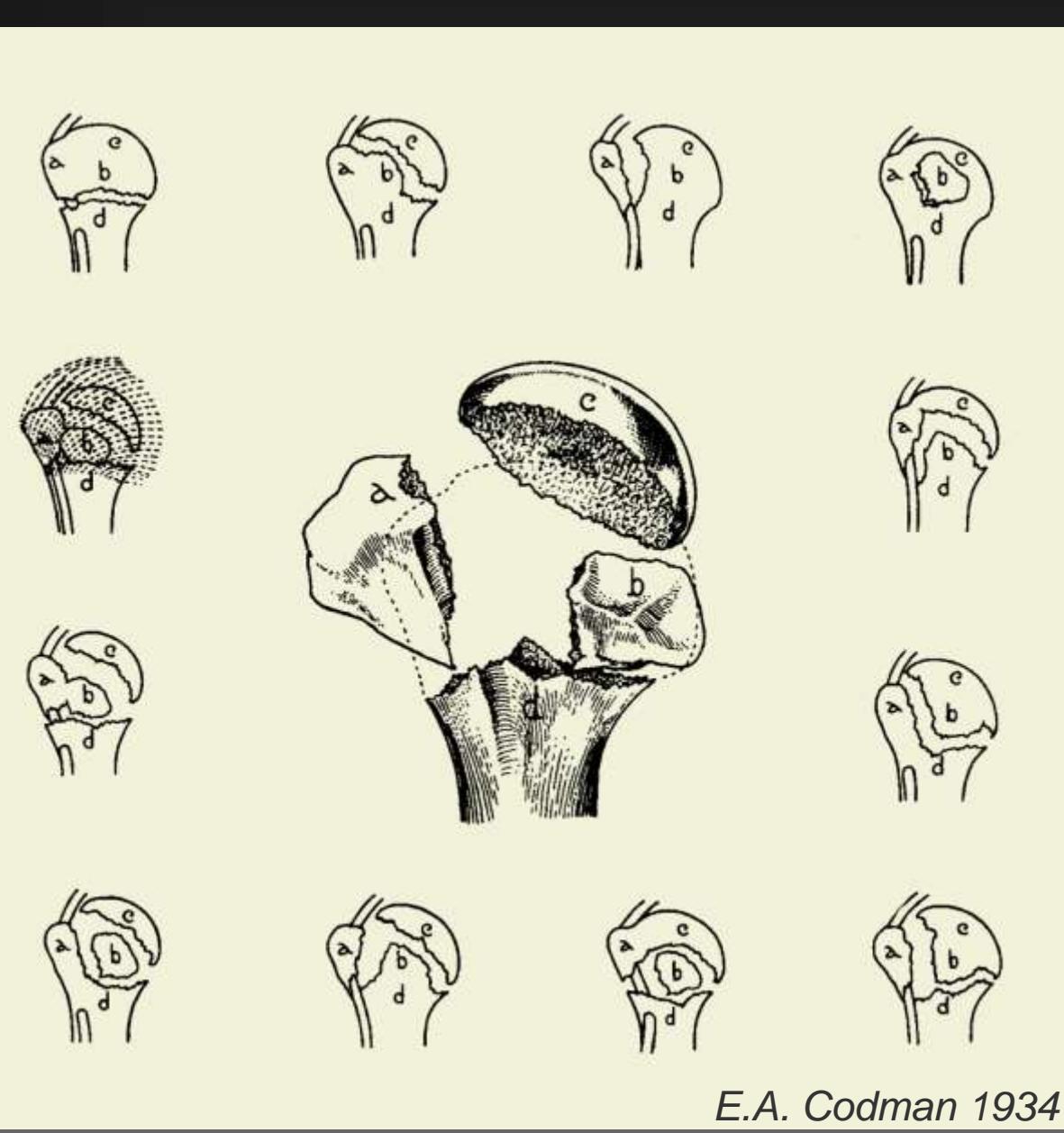
# Proximal Humerus Fractures

## Neer's Classification

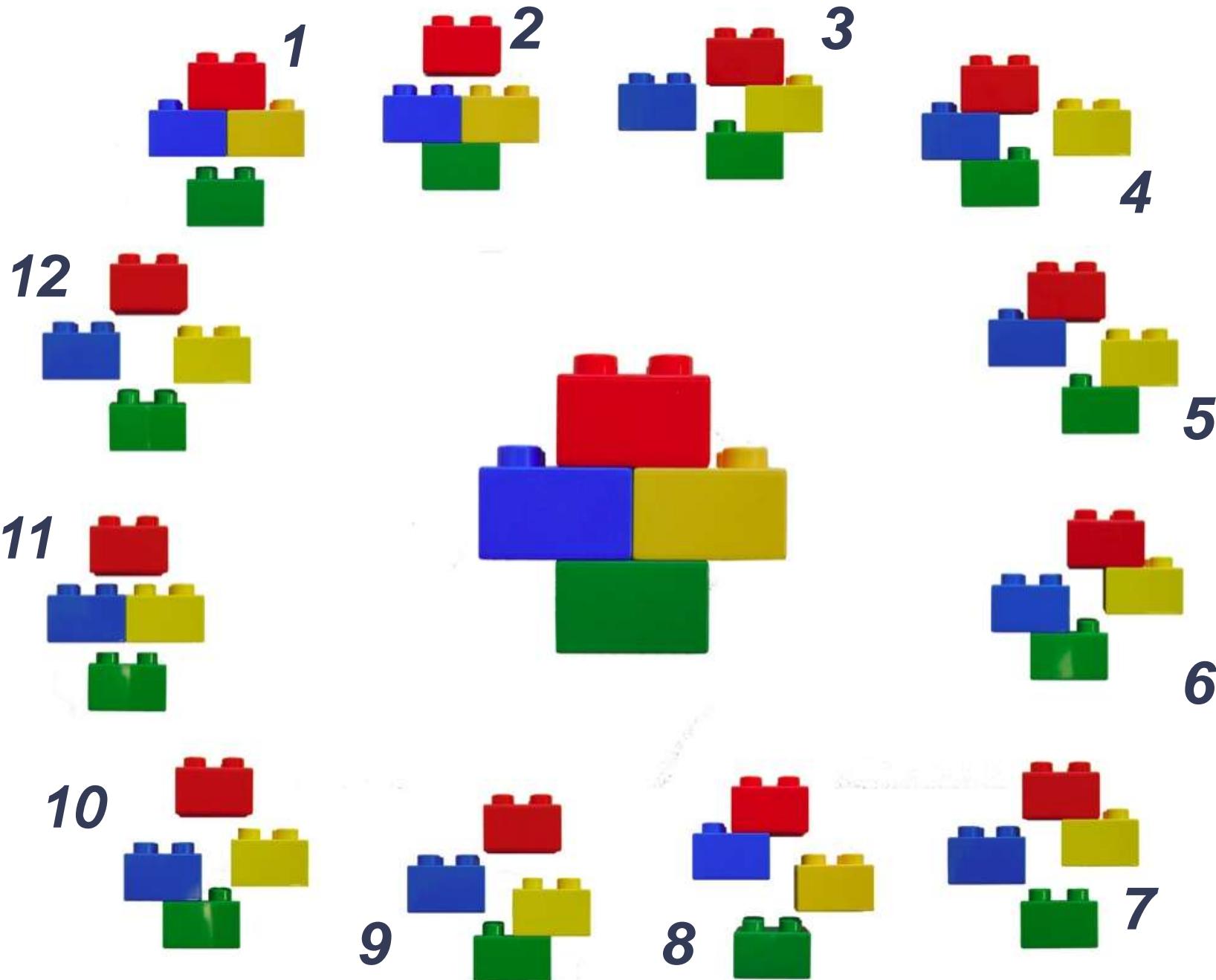


	2-part	3-part	4-part	Articular Surface
Anatomical Neck				
Surgical Neck				
Greater Tuberosity				
Lesser Tuberosity				
Fracture-Dislocation				
Posterior				
Head-Splitting				

# HERTEL `s modified Codman`s classification



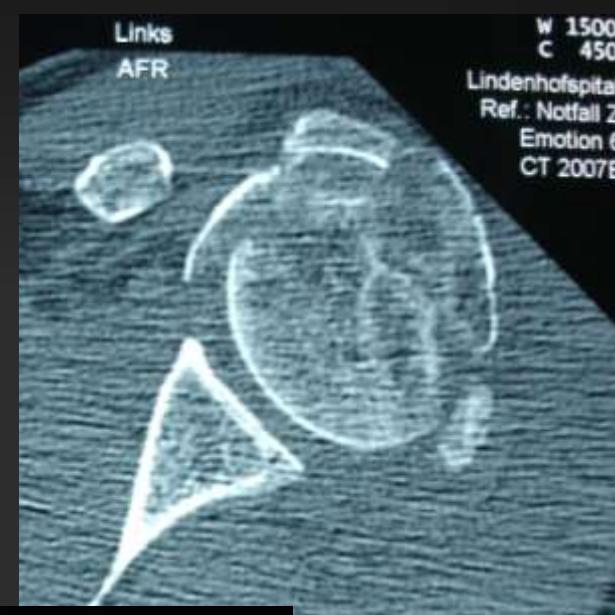
E.A. Codman 1934



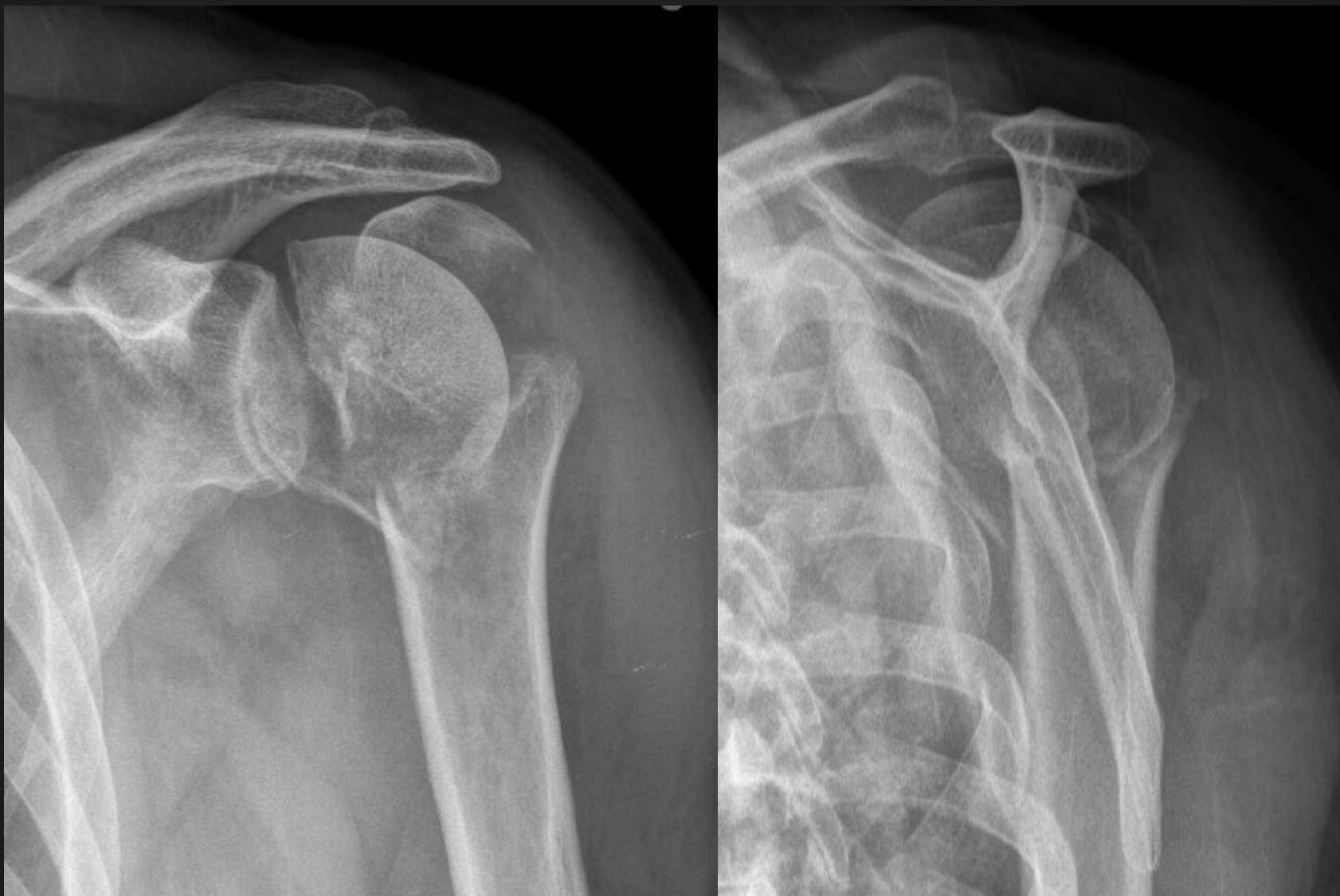


# *Proximal Humerus Fractures*

# *IMAGING*



# *Proximal Humerus Fractures*      IMAGING





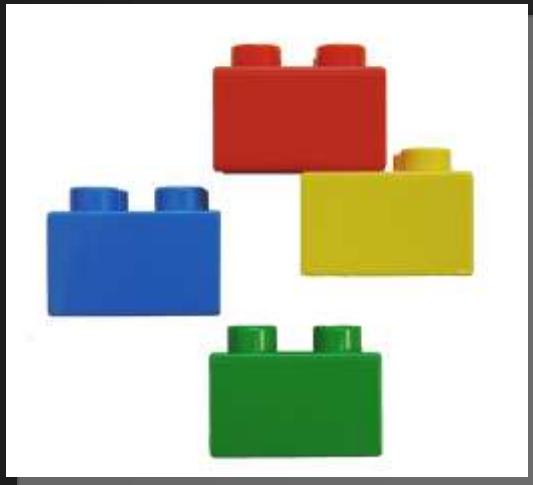
*3D CT reconstruction Very useful:  
The exact fracture pattern can be visualized*

# **Predictors of ischaemia?**

**prospective study, 100 consecutive  
intracapsular fractures**

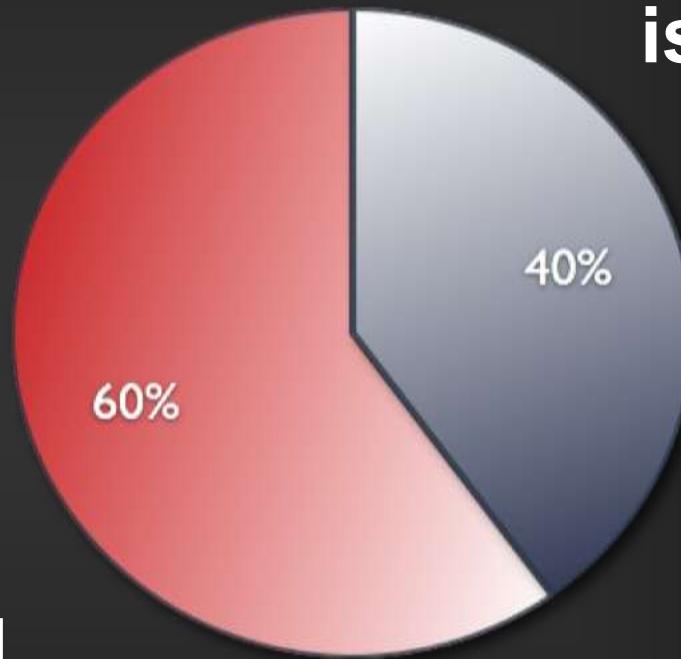
- type of fracture**
- displacement**
- gleno-humeral dislocation**
- metaphyseal extension**
- medial hinge**

*Predictors of humeral head ischemia, Hertel et al.,  
J Shoulder Elbow Surg 13:427-433 2004*

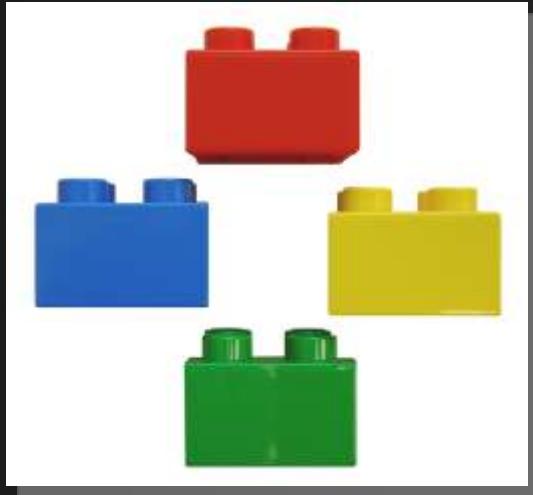


3-part fracture

perfused

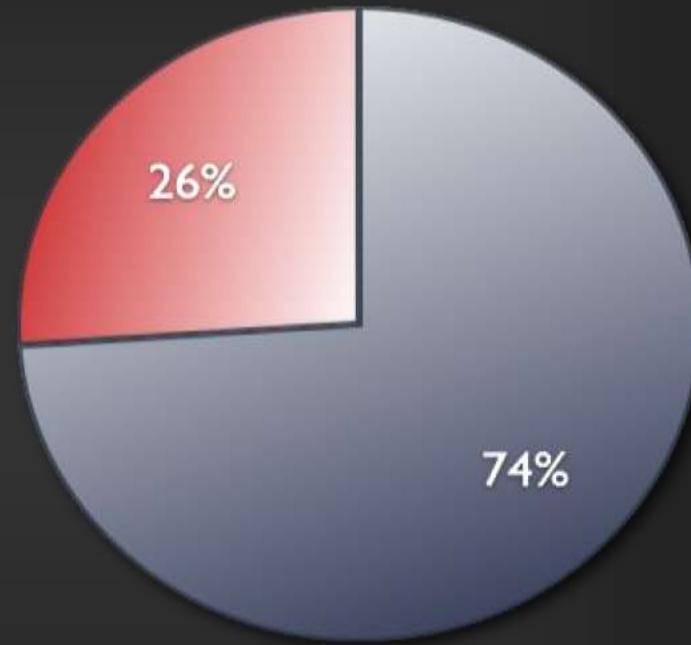


*Predictors of humeral head ischemia, Hertel et al.,  
J Shoulder Elbow Surg 13:427-433 2004*



4-part fracture

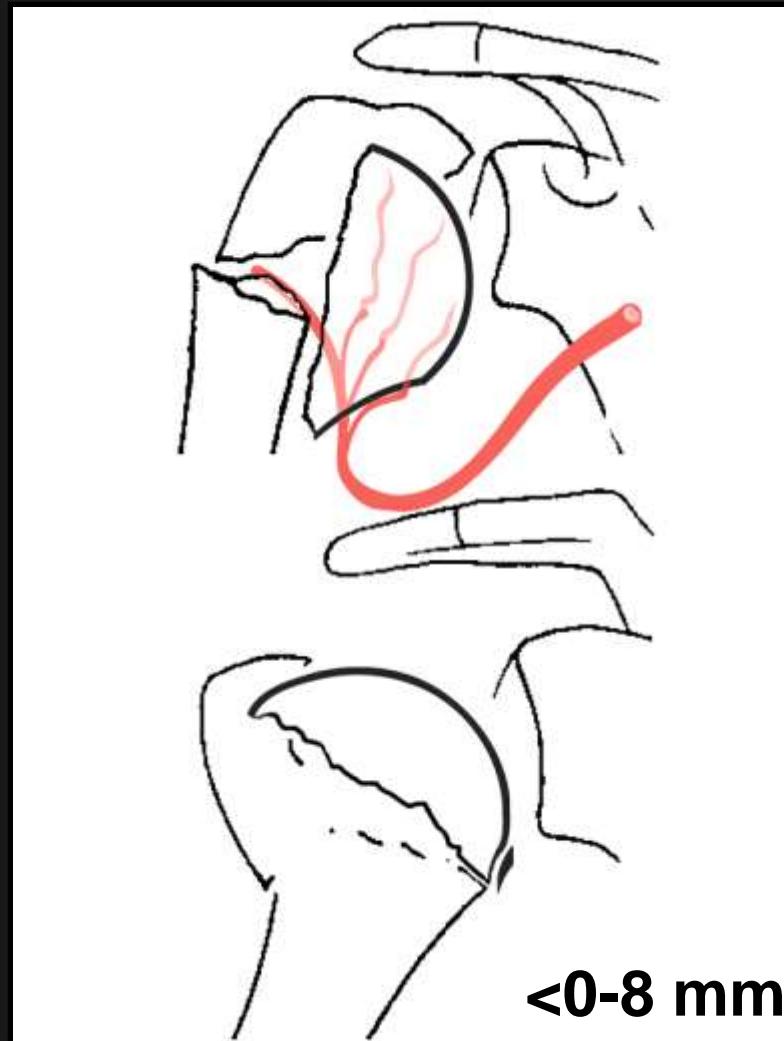
perfused



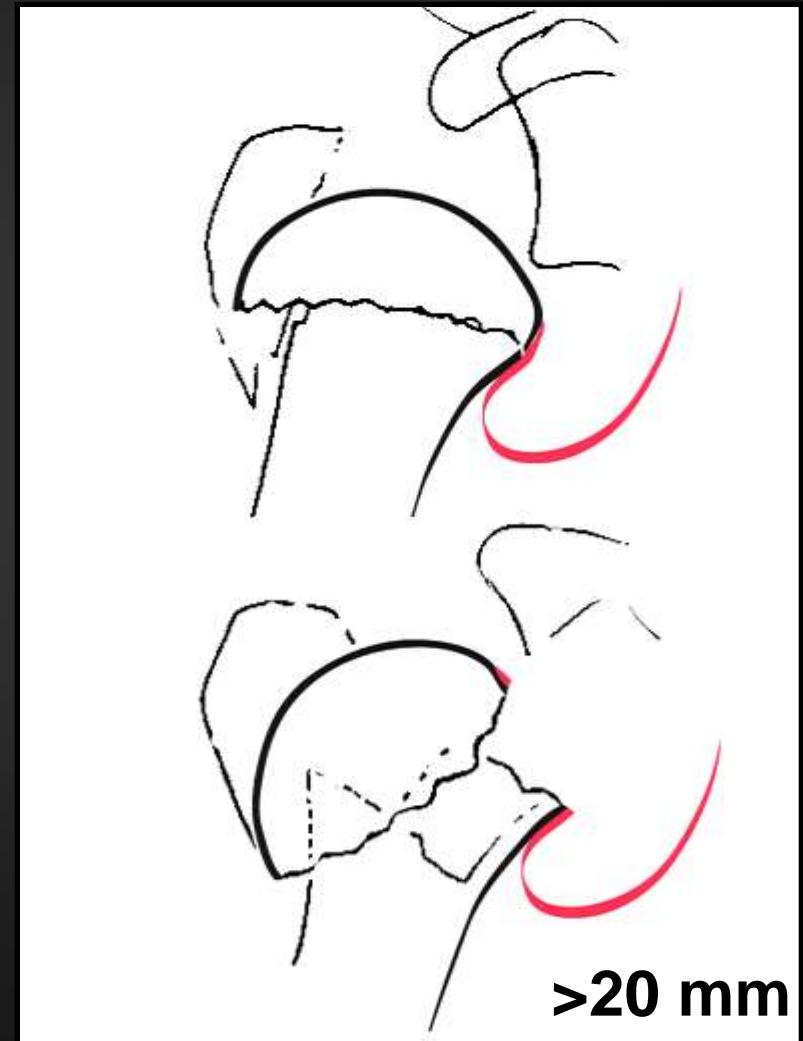
ischaemic

*Predictors of humeral head ischemia, Hertel et al.,  
J Shoulder Elbow Surg 13:427-433 2004*

***Postero-medial  
metaphyseal extension***



***Displacement of  
the medial hinge***



. . . other variables

1. fragment displacement
2. gleno-humeral dislocation
3. intracapital fracture line

***were not so useful !***

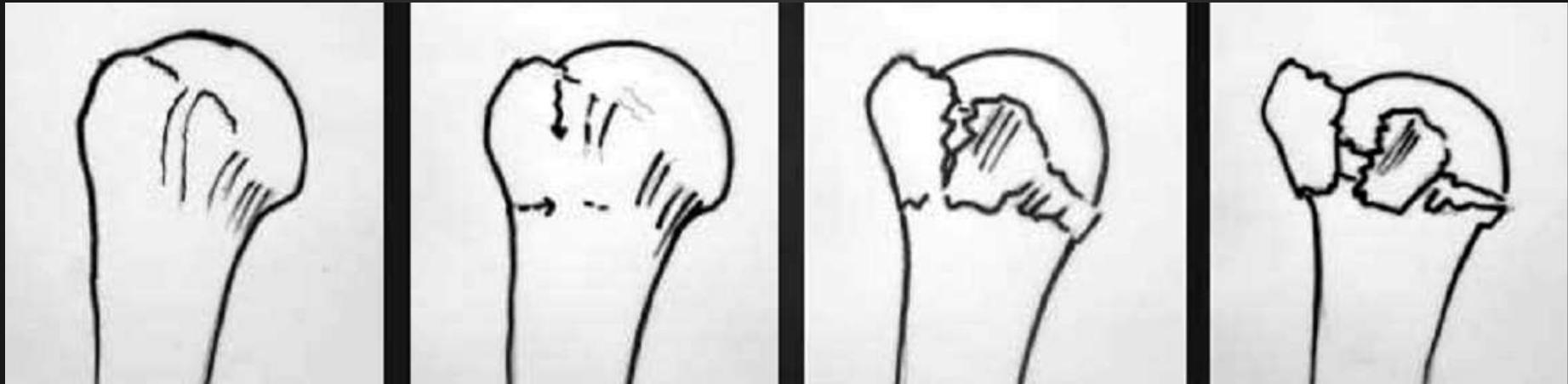


*the two most common 4-part fracture  
displacement patterns:  
Valgus and Varus*



# *Proximal Humerus Fractures*

*Valgus impacted 4-part fracture*



*displacement pattern*

# *Proximal Humerus Fractures*

*Only two columns  
disrupted:*

*Greater tuberosity  
column*

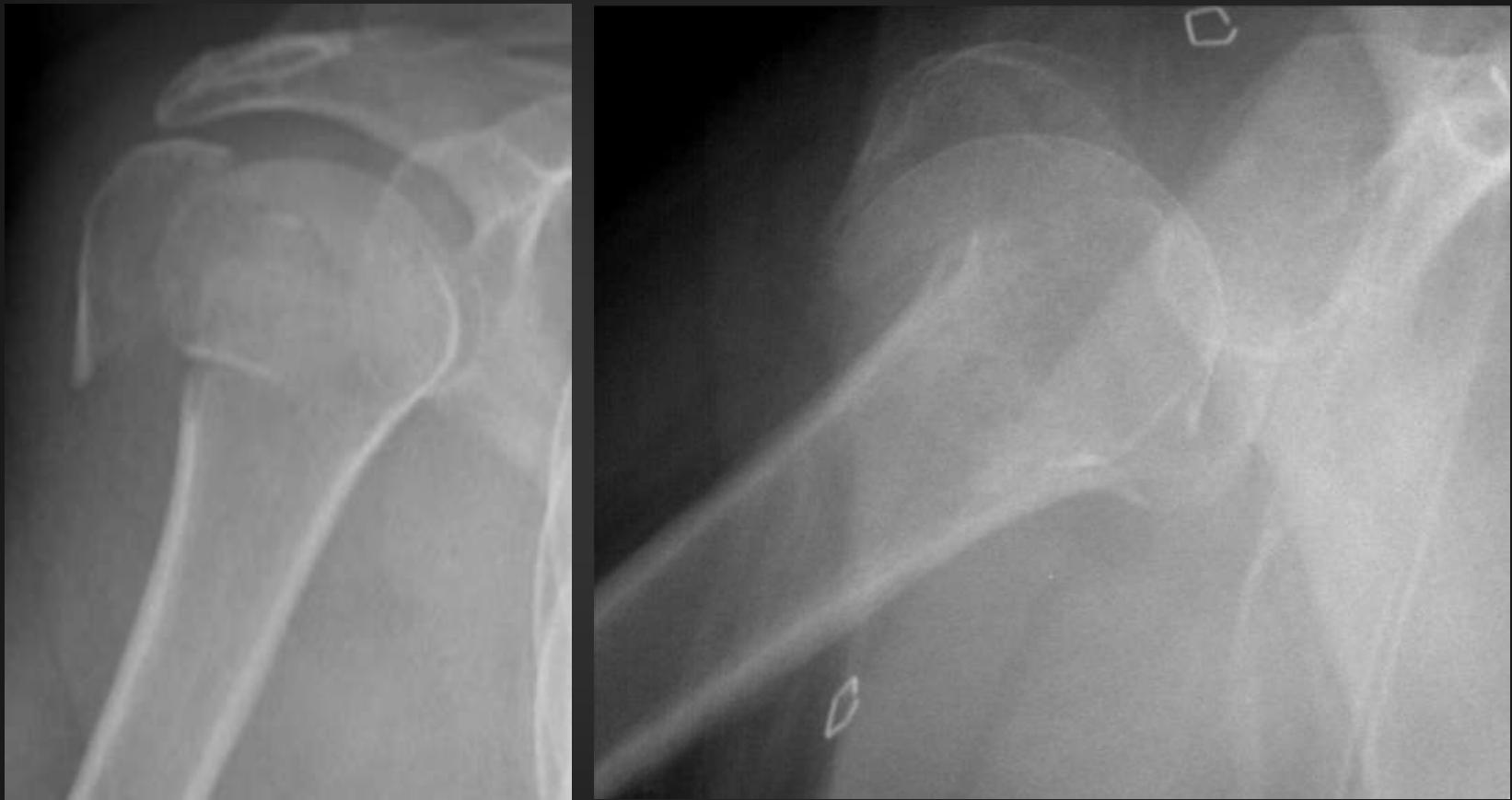
*Lesser tuberosity  
column*

*Medial calcar  
intact*

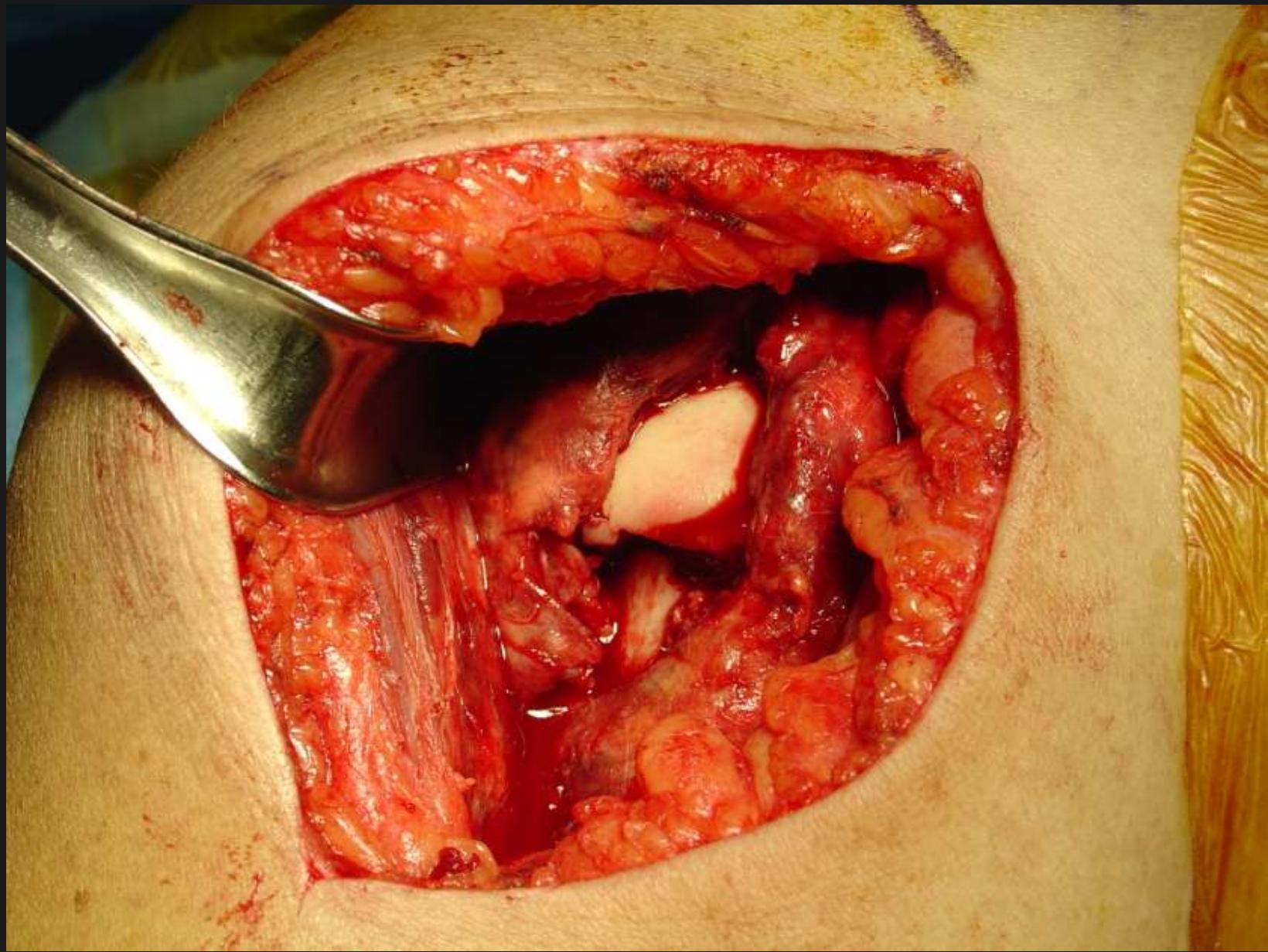


*Valgus impacted fracture*

# *Proximal Humerus Fractures*

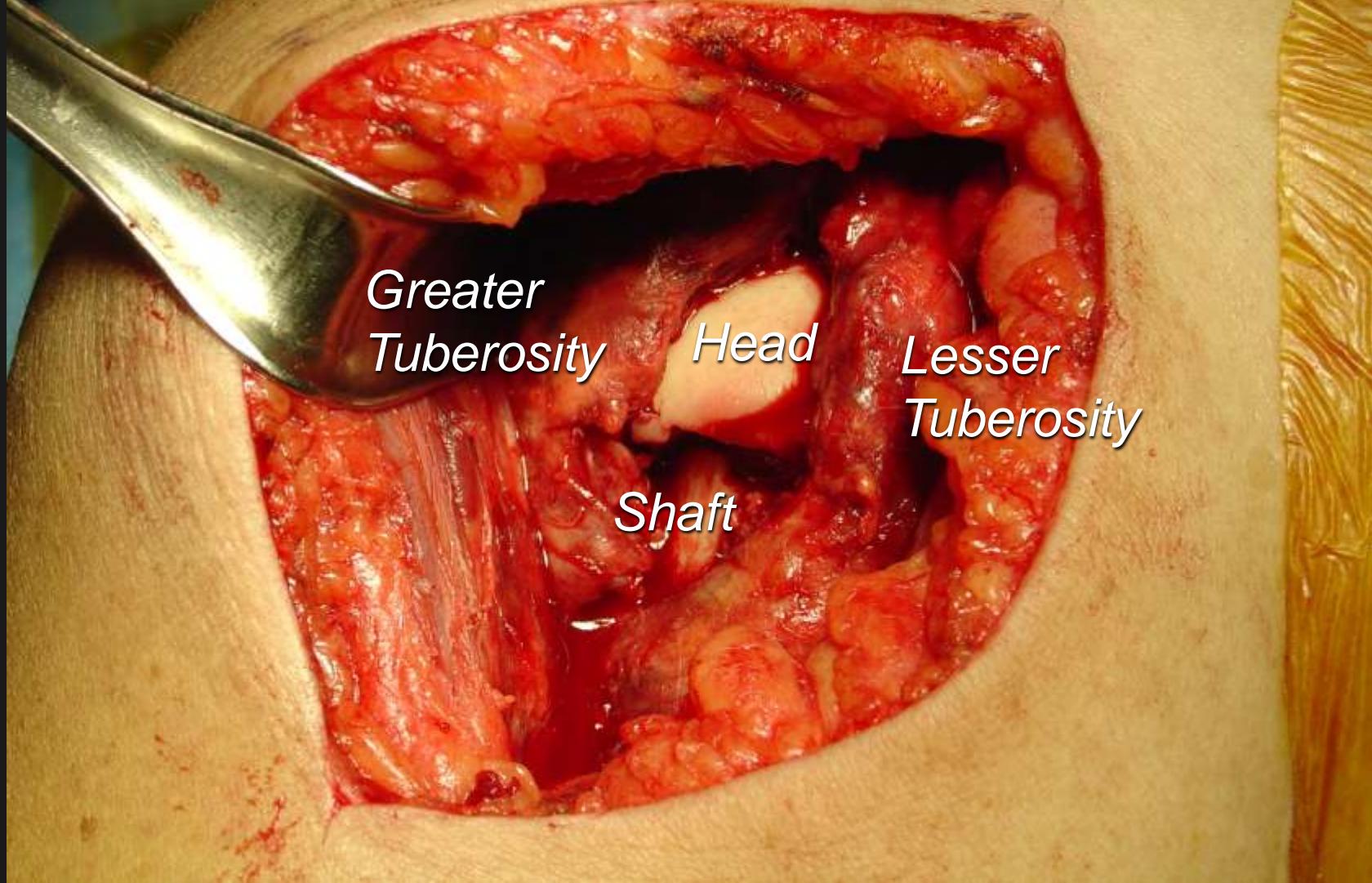


*Valgus impacted fracture*



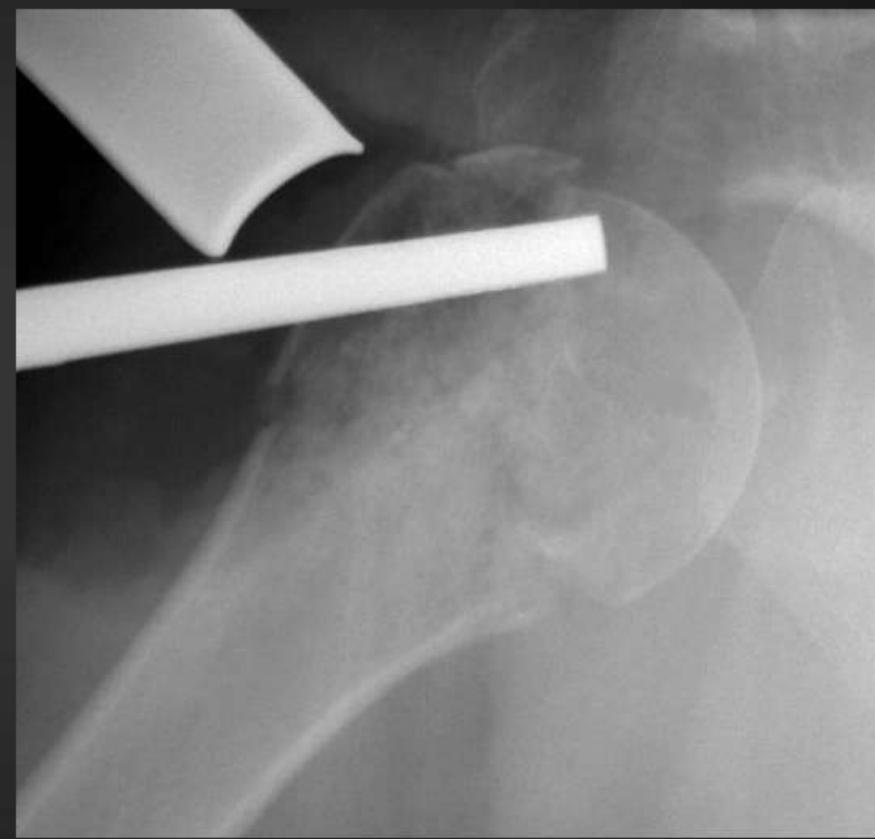
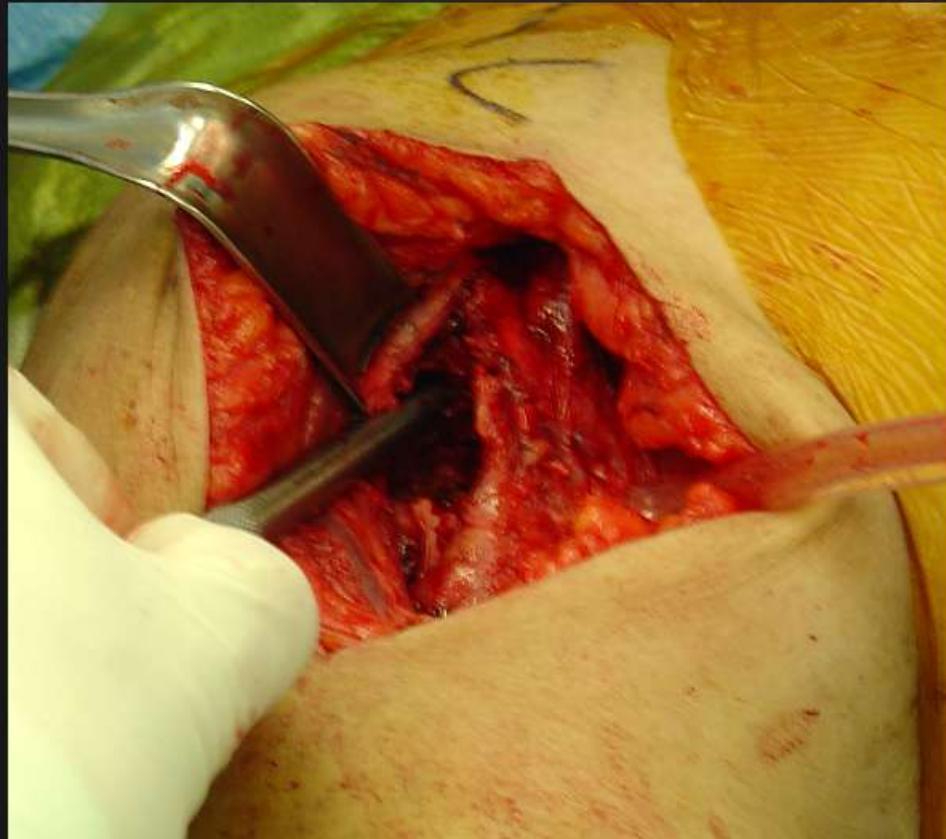
*Valgus impacted fracture*

## *Valgus impacted fracture*



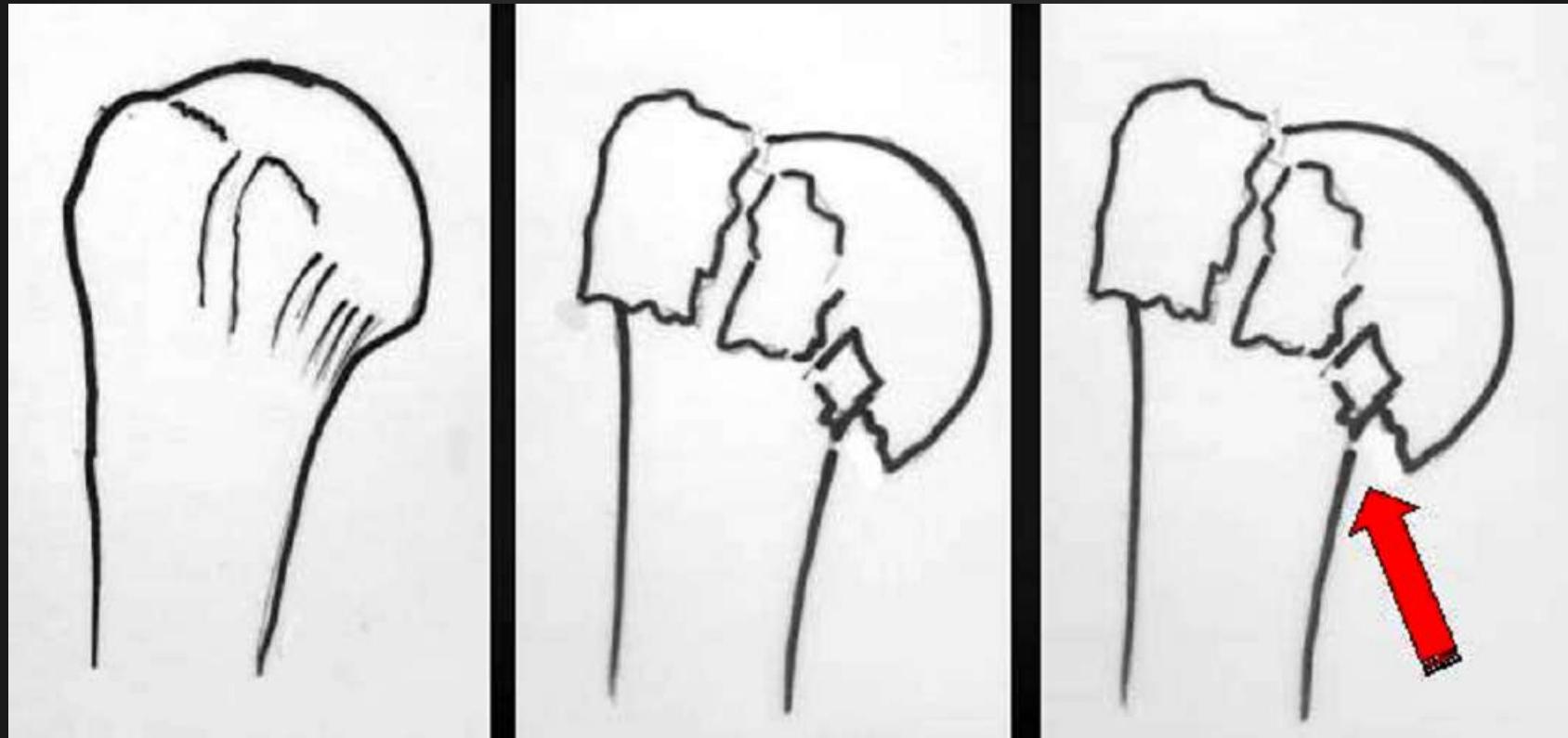
*Delto-pectoral incision, Intra-focal approach*

## *Valgus impacted fracture*



# ***Proximal Humerus Fractures***

*Varus displacement (varus and posterior shear)*



*loss of the inferior support of the head:  
the medial calcar*

# ***Proximal Humerus Fractures***

*Varus displacement (varus and posterior shear)*

***All three columns  
disrupted:***

***Greater tuberosity  
column***

***Lesser tuberosity  
column***

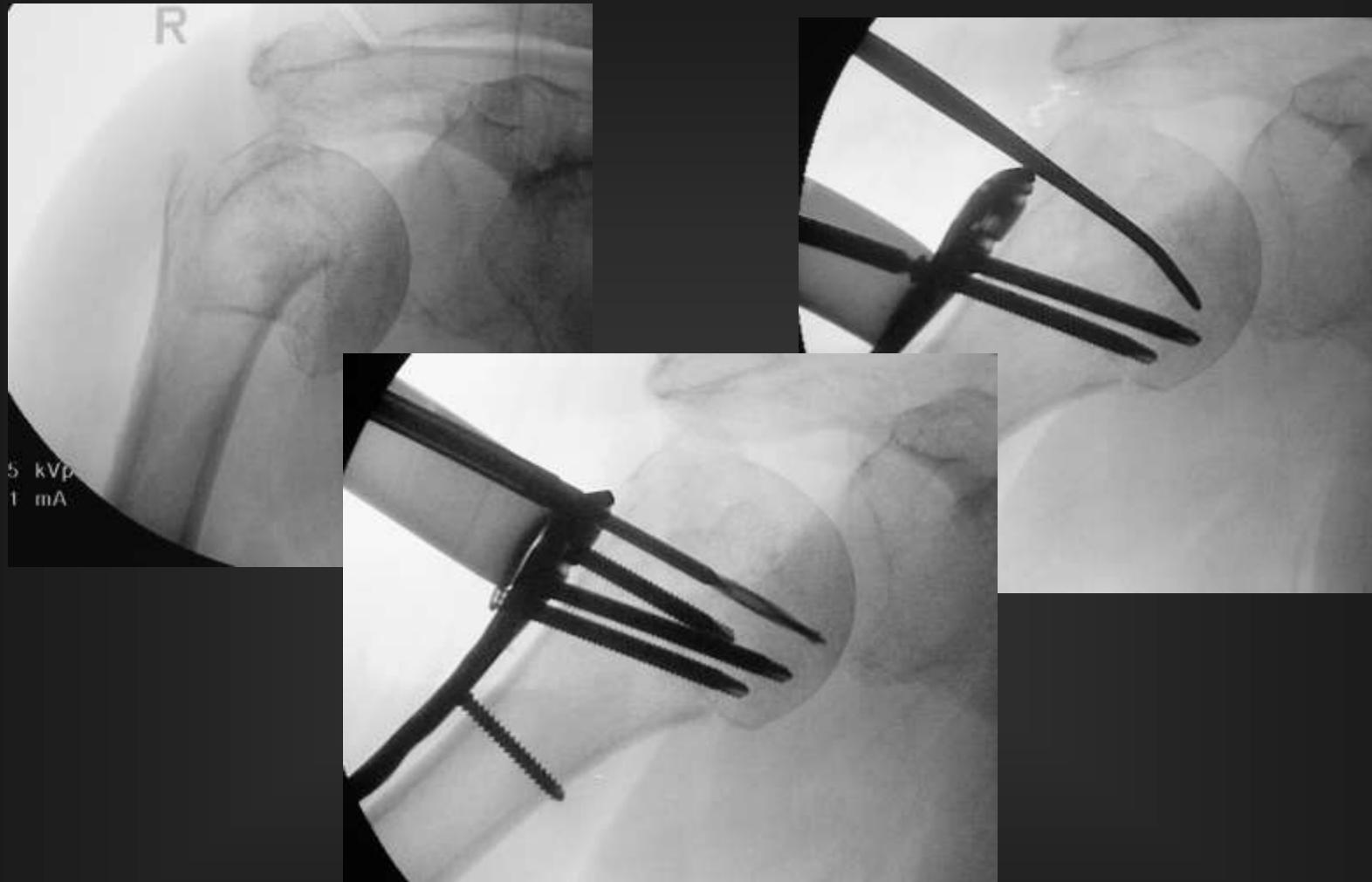
***Medial calcar***



# *Proximal Humerus Fractures*

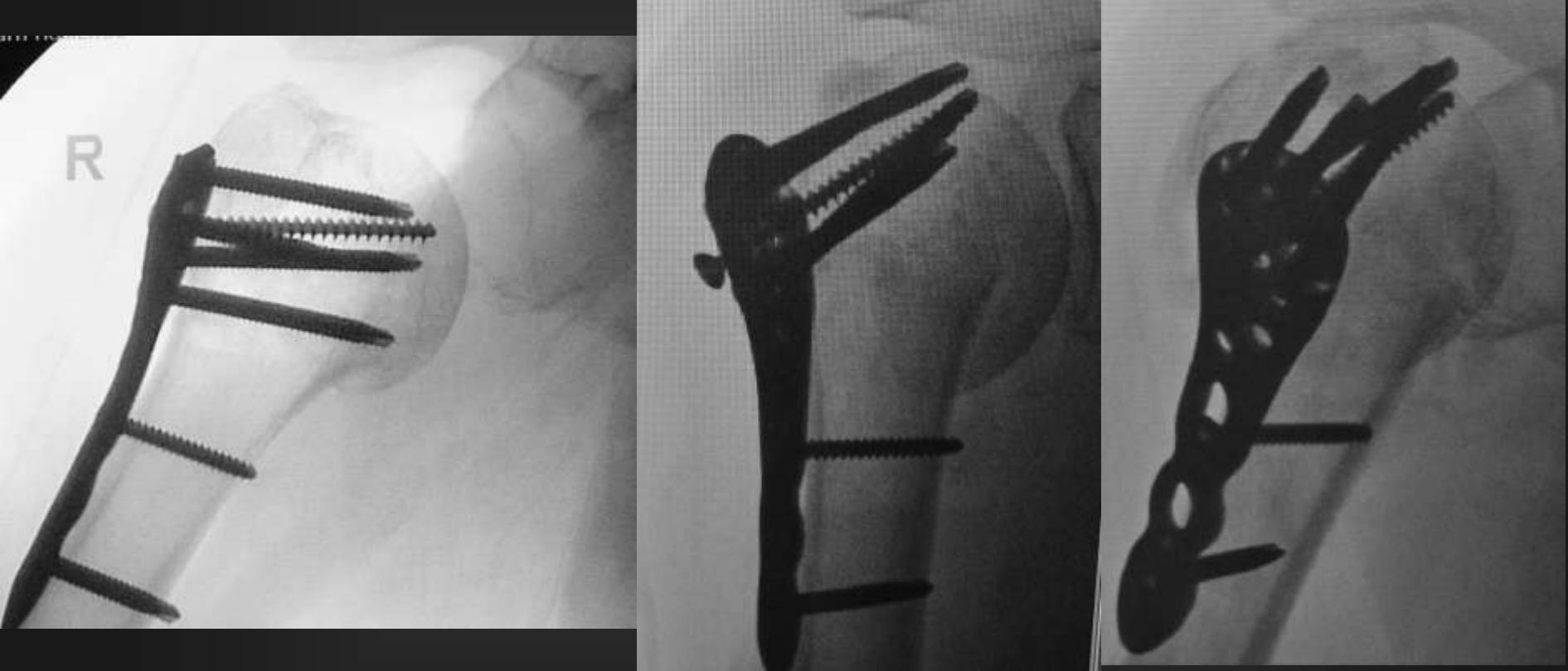
*Varus displacement (varus and posterior shear)*





***Varus displacement (varus and posterior shear)***

## *Loss of reduction, secondary displacement*



*Varus displacement (varus and posterior shear)*

# ***Proximal Humerus Fractures***

***Valgus impacted fractures... better prognosis:***

***1- Less incidence of AVN***

***2- Lower rates of failure from loss of reduction***

***Mechanical factors***  
***Biologic factors***

*Jakob, Miniaci, Anson. Four-part valgus-impacted fractures of the proximal humerus. JBJS 1991;73B:295*

***Varus displacement fractures have a worse prognosis than valgus impacted fractures***

# *Proximal Humerus Fractures*



***Valgus impacted***

*4 part  
2 column fracture  
More stable pattern*



***Varus and posterior shear***

*4 part  
3 column fracture  
More unstable pattern*

# **Proximal Humerus Fractures**

## **MANAGEMENT**

- Non- operative treatment
- Internal fixation
- Prosthetic replacement

# Proximal Humerus Fractures

- Non- operative treatment:

*non-displaced fractures*

*impacted stable fractures  
with acceptable malalignment*

*displaced but relatively stable  
fractures in the elderly patient*





*5 months*



# Proximal Humerus Fractures

- Internal fixation

**displaced, unstable fractures with  
low risk of avascular necrosis**

*although partial or total AVN may  
be compatible adequate function  
and tolerable symptoms !!*

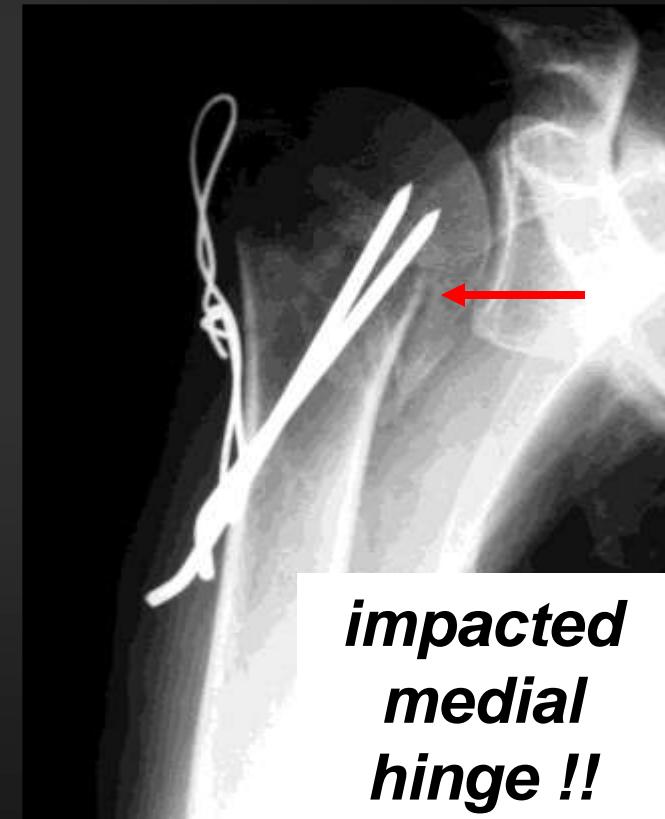
# Proximal Humerus Fractures

*,,MINIMAL“ INTERNAL FIXATION MODALITIES*

*Tension band principle*



*4-part fracture*



*impacted  
medial  
hinge !!*





*displaced greater tuberosity fractures*





DIDIEleva01

Ex: 101480002

Neer:

Se: 4 / 5

Im: 1 / 1

Cor:

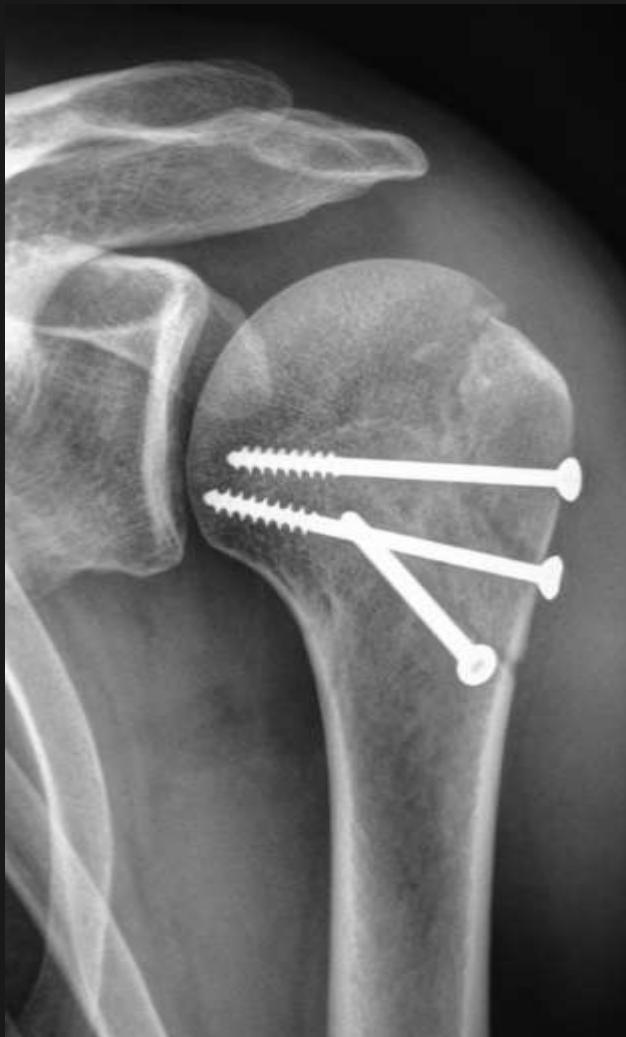
1976 x 1576

ET:

TR:



*8 weeks post-op*



*post-op*

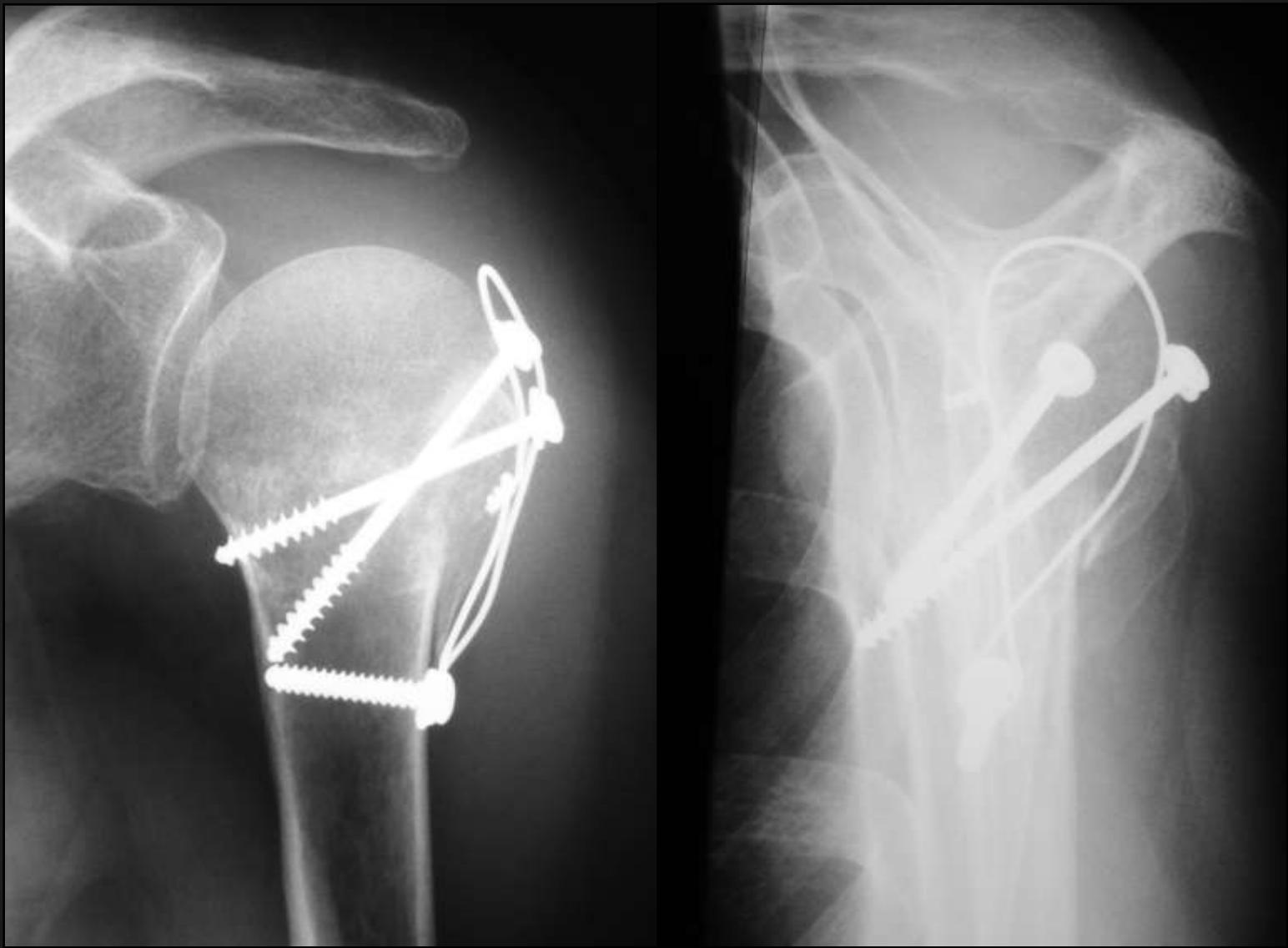




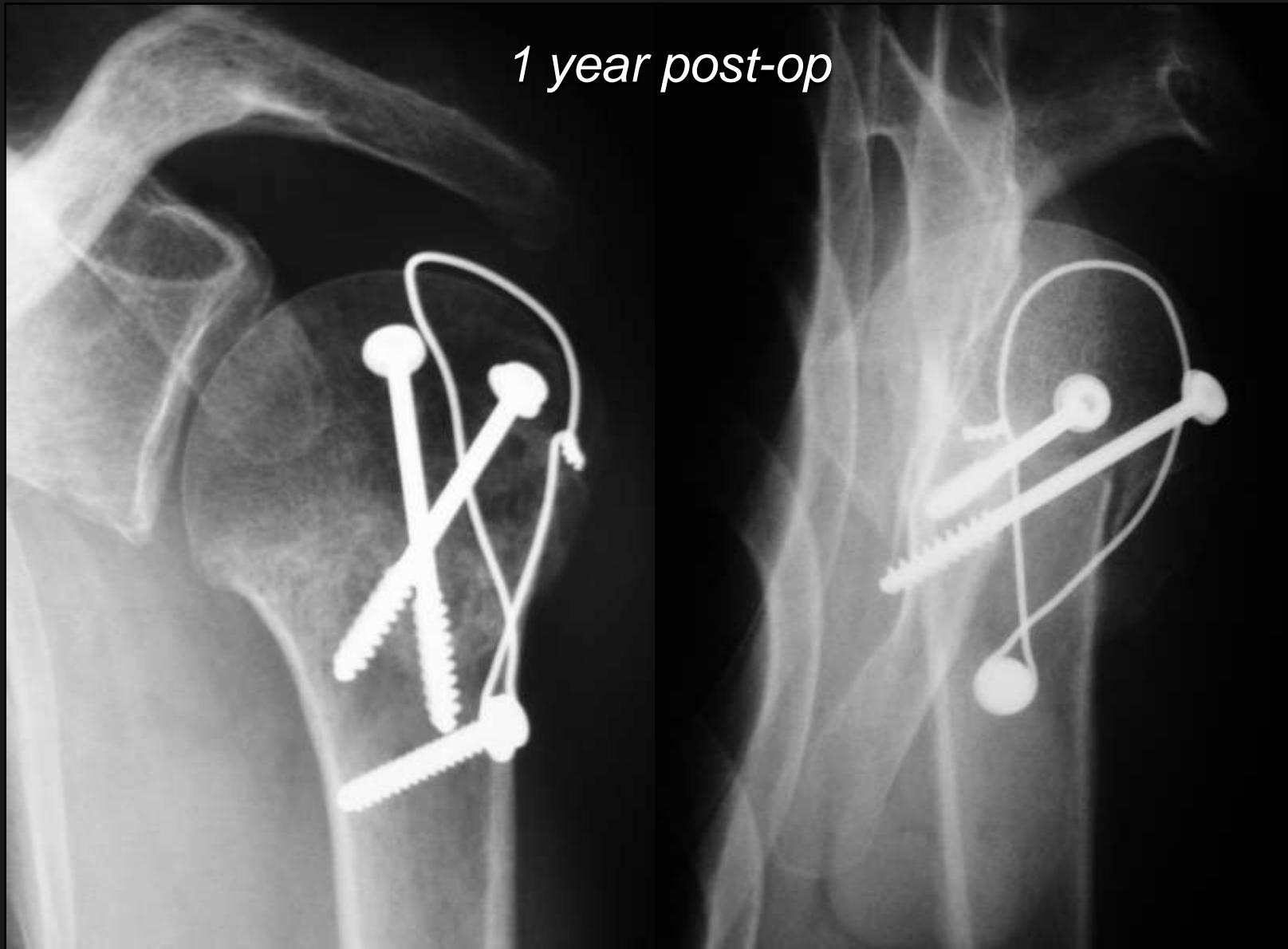
# *valgus impacted 4-part fracture*



*post-op xrays*

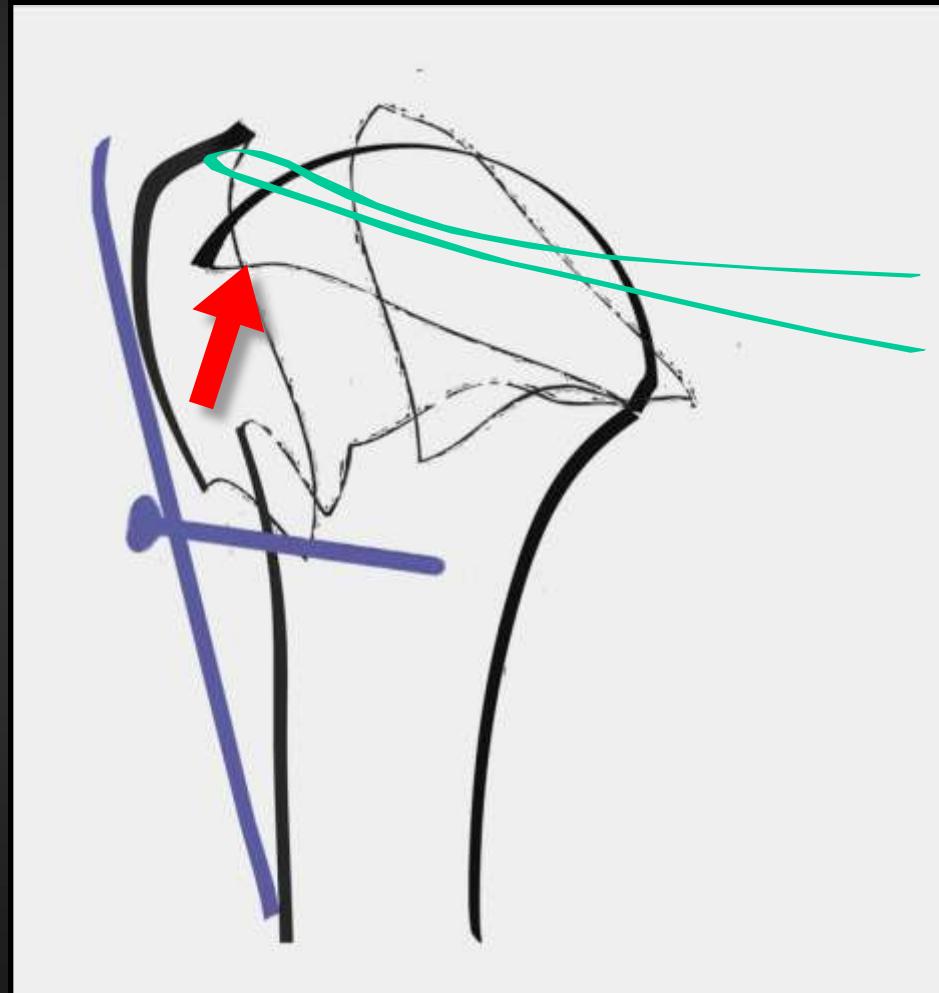
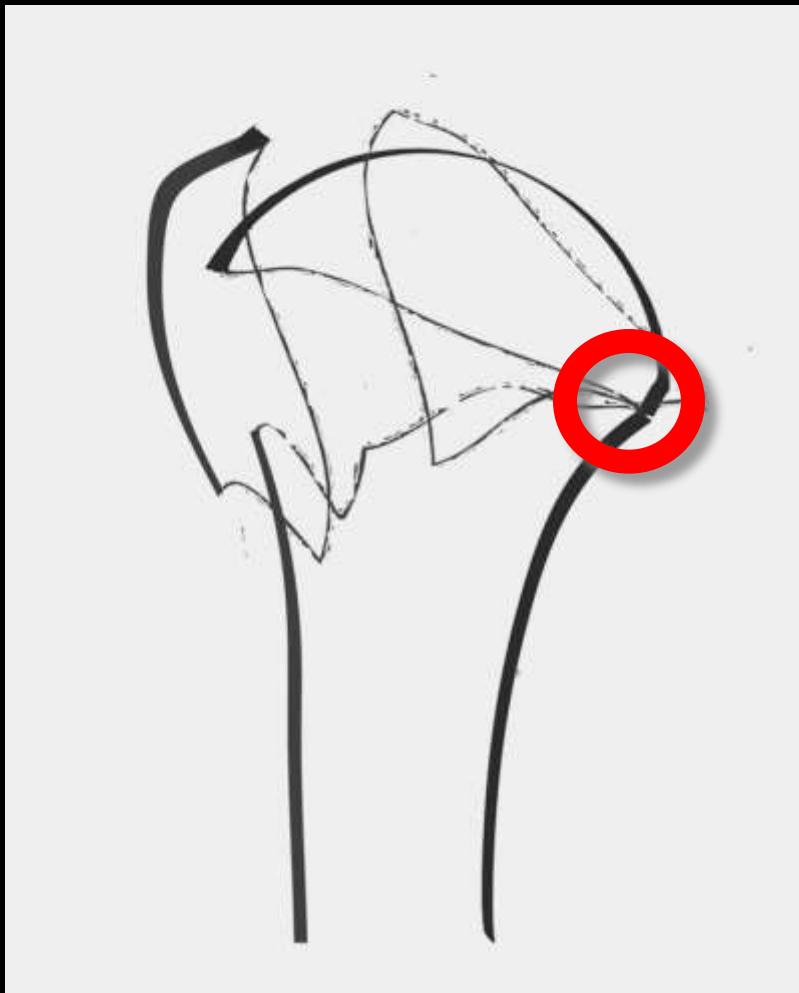


*1 year post-op*

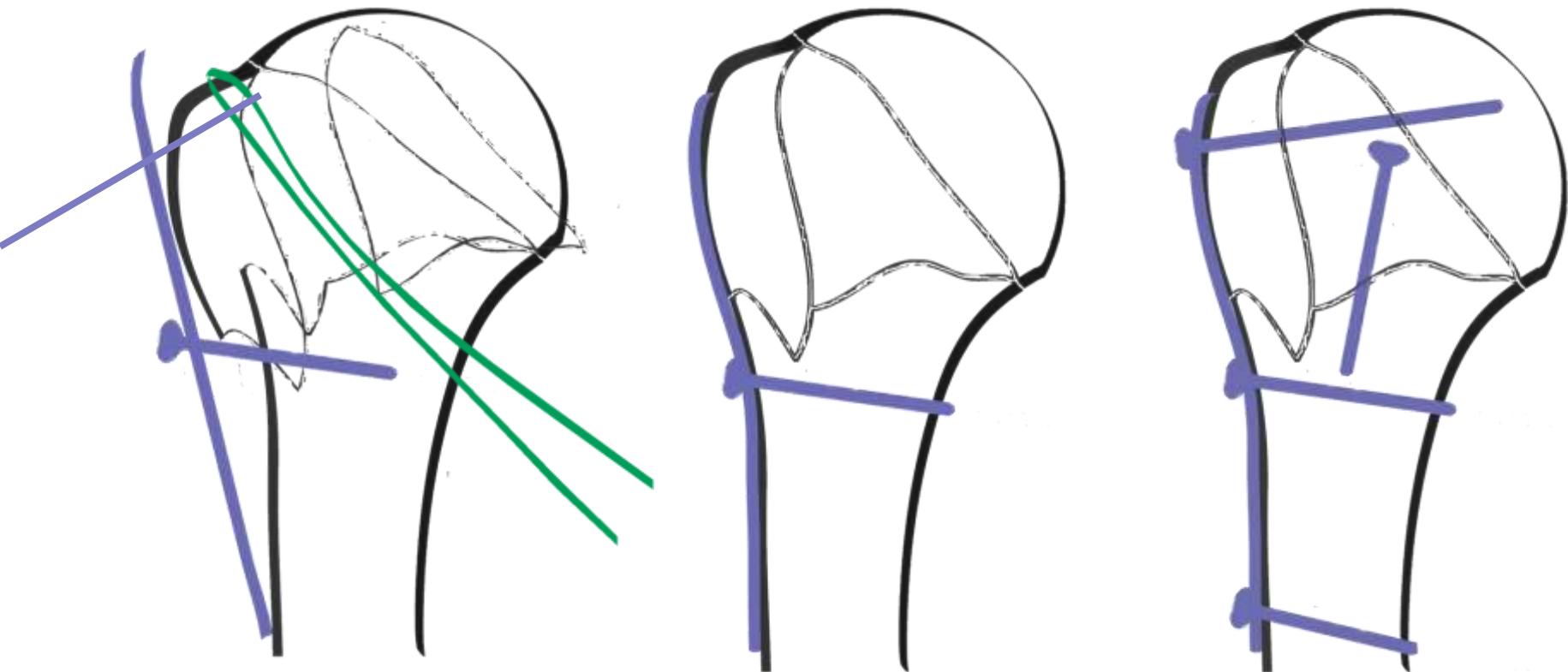


# *„MINIMAL“ INTERNAL FIXATION MODALITIES*

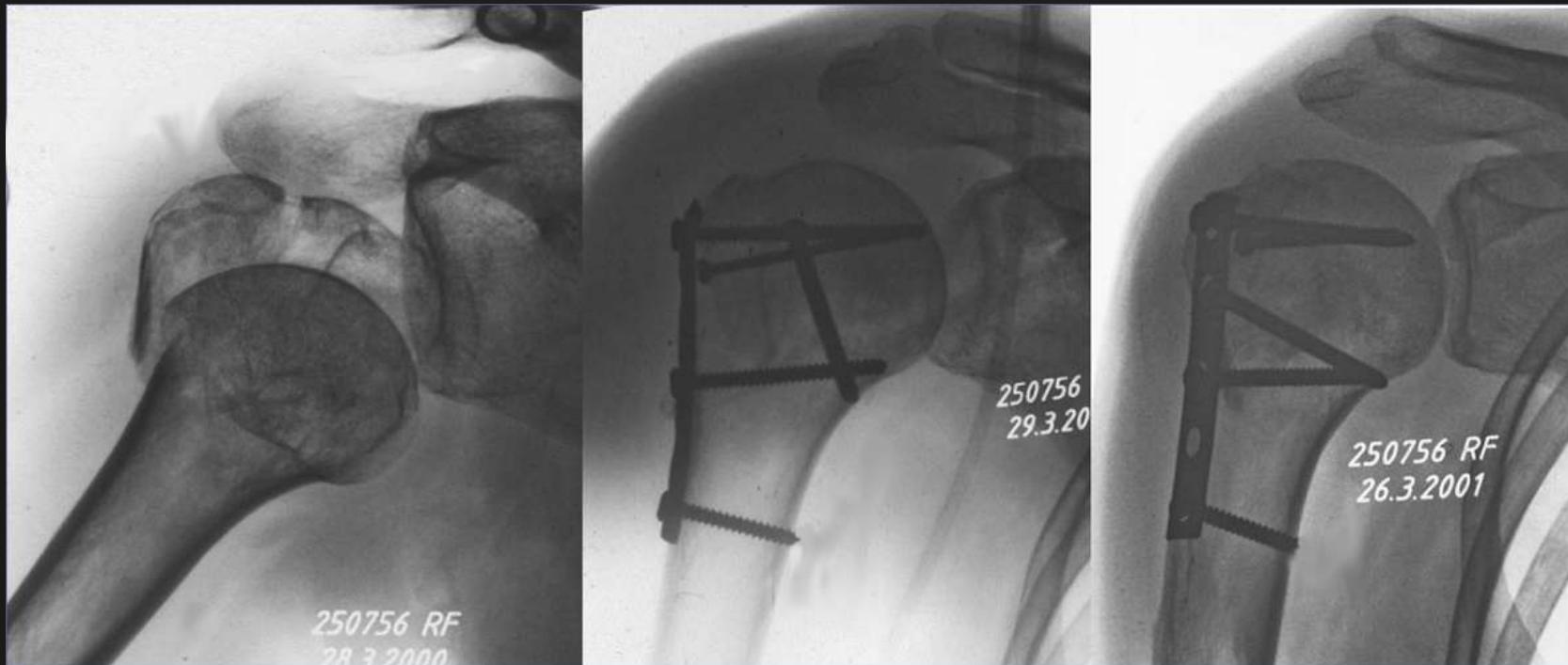
## *Buttress Plating (R. Hertel)*



## *Buttress Plating (R. Hertel)*



# *valgus impacted 4-part fracture*



# Proximal Humerus Fractures

## *, „MAXIMAL“ INTERNAL FIXATION MODALITIES*

- *unstable displaced subcapital fractures with or without intra-articular component*
- *extensive metaphyseal comminution*
- *fractures extending to proximal shaft*
- *proximal humeral non-union*





*6 weeks post op*



*10 weeks post op*



*1 year post op*



## ***LOCKING PLATES, proximal humerus non-union***



*surgical neck 2-part fx*



*ipsilateral distal radius fx*



*osteoporotic 80 y old female*





*40 y old, unstable surg neck fx*





8 weeks



*12 months post-op*





*12 months post-op*



# Proximal Humerus Fractures

- Prosthetic replacement  
(hemiarthroplasty)
1. *Complex fracture dislocation*
  2. *Severely displaced medial hinge*
  3. *Short metaphyseal extension  
(anatomical neck)*
  4. *Intra-capital split fractures*
  5. *Severe osteoporosis, associated DJD*
  6. *Elderly patients*



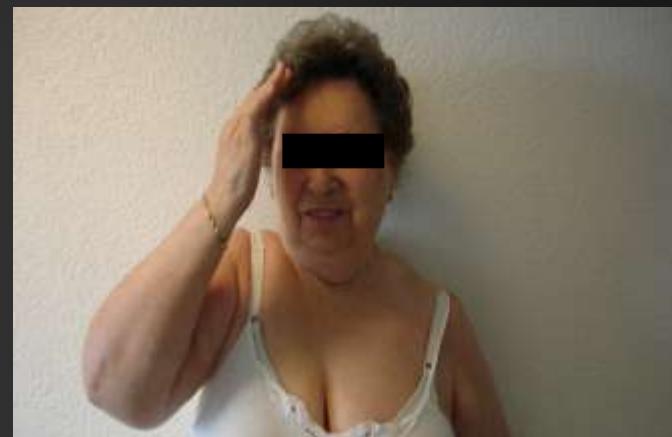
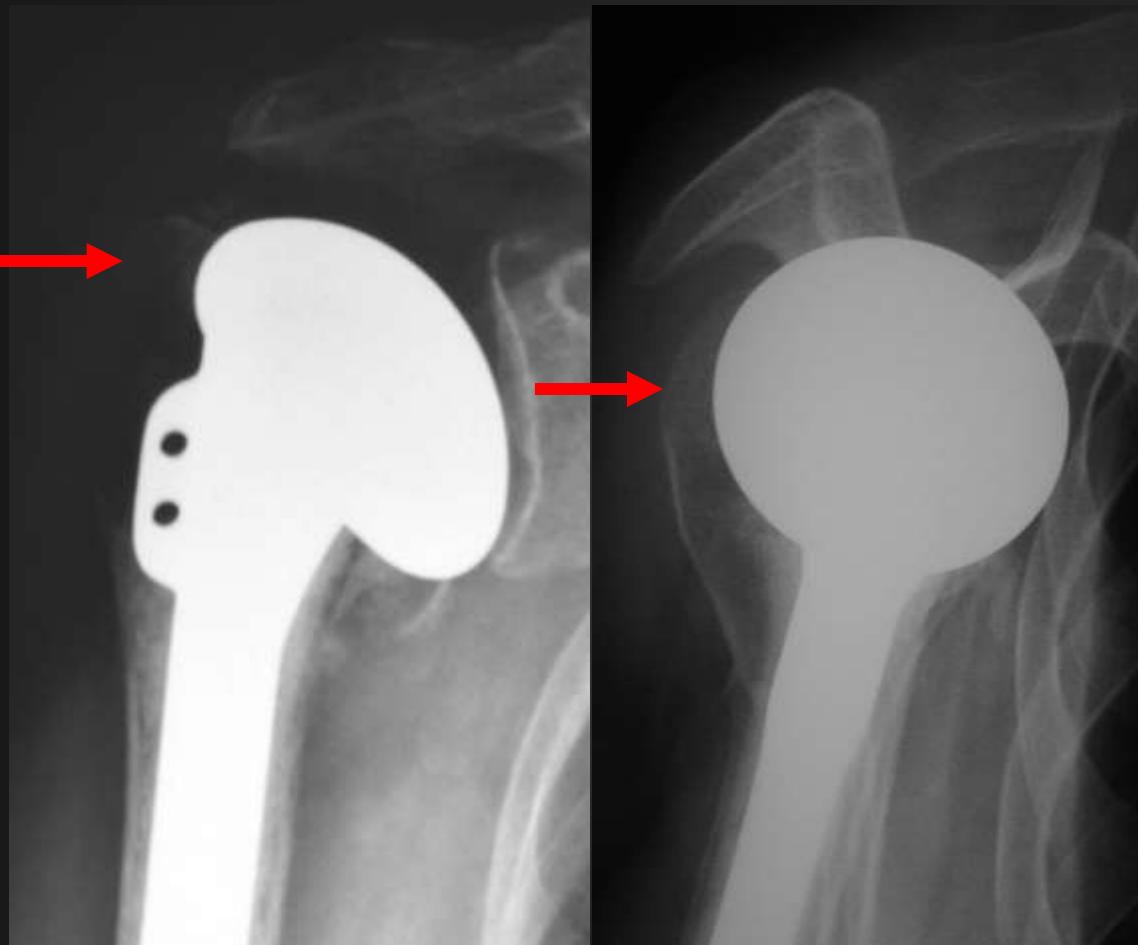
**70 y.old  
female**



*4.5 years post-op*



1 year post-op



A photograph of Earth taken from space, showing a cross-section of the planet's surface. The image captures various cloud formations, landmasses, and bodies of water. The curvature of the Earth is visible against the dark void of space.

*Thank you !*